



BENEFIT NEWS BRIEFS

Forms for Renewal or Application of “Waiver” of Annual Limits Available

In [Client Bulletin 2011-38](#), we discussed the end of the “waiver” of annual limits program. As we noted, the Centers for Medicare & Medicaid Services (CMS) introduced a process for health plans that have already received waivers and want to **renew** those waivers for plan or policy years beginning before January 1, 2014. The new guidance extends the duration of waivers that have been granted through 2013, if applicants submit annual information about their plan and comply with requirements to ensure that their enrollees understand the limits of their coverage.

Existing waiver recipients must apply to extend their current waiver and all extension applications must be submitted by September 22, 2011. Applications for an extension received after that date will no longer be considered.

Any plans that have not yet applied for a waiver also must apply by September 22, 2011.

The Forms were posted on the CCIIO website June 17, but due to technical problems the links did not work. They do now. Plans needing to extend a waiver or make a first application can obtain the necessary forms at: <http://cciio.cms.gov/resources/other/index.html#alw> or from the links below:

Annual Limit Waiver Application Forms and Instructions

- [Annual Limit Waiver Application—New Application Form](#) (XLS – 49 MB)
- [Annual Limit Waiver Application—Waiver Extension Form](#) (XLS – 50 MB)
- [Annual Limit Waiver Application Instructions](#) (PDF – 76 KB)
- [Annual Limit Waiver Data Dictionary](#) (XLS – 20 KB)

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